

We define our new reduced theory to be $T'' = T' \cap \Sigma'$.

By passage to quotients, \mathcal{J}' defines a family $\langle I'_n: n \in S_\omega(V) \rangle$ of boolean isomorphisms $I'_n: B_{\mathbb{F}}(\Sigma', T'')(n) \xrightarrow{\sim} B_{\mathbb{F}}(\Sigma, T)(n)$, whose inverse satisfies $I'_n[\phi] = [\mathcal{J}'(\phi)]$ for all $\phi \in \Sigma^n$.

If \mathfrak{A}' is a model of T'' with universe A , \mathfrak{A}' defines families of boolean homomorphisms $\alpha'_n: B_{\mathbb{F}}(\Sigma', T'')(n) \rightarrow 2^{A^{|\nu|}}$ and $\alpha_n: B_{\mathbb{F}}(\Sigma, T)(n) \rightarrow 2^{A^{|\nu|}}$, as before. Since α'_n is a Σ' -homomorphism, α_n preserves all the (countable) sums of the type $\{\{VE[\phi'_\xi]: \xi \in \mu'\}\}$ for $E \in \mathcal{E}\{\phi'_\xi: \xi \in \mu'\}$. By our version of Sikorski's theorem, α_n preserves all the disjoint sums of the form $\{\{\phi'_\xi: \xi \in \mu'\}\}$. A fortiori, α_n preserves all sums of the form $\{\{\phi_\xi: \xi \in \mu'\}\}$ such that $V\langle\phi_\xi: \xi \in \mu'\rangle$ is in Σ_0 and is a semantical consequence of T , i.e., α_n is a Σ_0 -homomorphism. If, just as before, we let \mathfrak{A} be the structure obtained from \mathfrak{A}' via \mathcal{J} , we easily show (by induction) that $\alpha_n[\phi] = \phi^{\mathfrak{A}}$, for all $\phi \in \Sigma_0$. This implies that \mathfrak{A} is a model of T . The rest of the proof follows as in the former case.

From the property of unique readability of our languages, it follows that \mathcal{J}' is an injective map. This implies that $|\Sigma'| \leq |\Sigma|$. An easy computation gives the following bound for Σ which is, a fortiori, a bound for T'' :

$$|T''| \leq |\Sigma| \leq |T| \cdot |V_L| \cdot \sum_{\nu < \mu} m(\nu).$$

§3. In this last section we give examples of theories in $L_{\mu+\omega}$ languages for which the cardinality bounds for the reduced theories are actually attained. As a corollary, we obtain the converse of our main theorem.

Let μ be an infinite cardinal and let $L_{\mu+}$ be the $L_{\mu+\omega}$ language generated by the binary relation symbol \leq and the set of unary relation symbols $\{P_\xi: \xi \in \mu\}$. We assume that $V_L = \{x_\xi: \xi \in \mu\}$. By induction, we define $\phi_\xi(x_1) \in L_{\mu+}$ such that $(\mu, \leq) \models \phi_\xi[\eta]$ iff $\xi = \eta$. (Actually, we can obtain such a ϕ_ξ in the $L_{\mu\omega}$ language L_μ generated by these primitive symbols.)

Let σ_μ be the theory in the language $L_{\mu+}$ consisting of the following sentences:

$$\begin{aligned} & \text{“}\leq \text{ is a linear ordering”}, \\ & \Lambda \langle \forall x_1 (P_\xi(x_1) \leftrightarrow \phi_\xi(x_1)) : \xi \in \mu \rangle, \\ & \Lambda \langle \exists x_1 P_\xi(x_1) : \xi \in \mu \rangle, \\ & \forall x_1 V \langle P_\xi(x_1) : \xi \in \mu \rangle. \end{aligned}$$

The theory σ_μ is categorical and characterizes $\langle \mu, \leq, \{\xi\}_{\xi \in \mu} \rangle$ up to (unique) isomorphism.

THEOREM. *If σ_μ is equivalent to some theory T in some $L_{\omega_1\omega}$ language L , then $\mu < \theta$ and $|T| \geq m(\mu)$.*

PROOF. We let \mathcal{J} (resp. \mathcal{J}') be the map from the atomic formulas of $L_{\mu+}$ (resp. L) into the formulas of L (resp. $L_{\mu+}$). By hypothesis, these maps establish a one-to-one correspondence between models having μ as universe. In particular, if \mathfrak{A} is the model obtained from $\langle \mu, \leq, \{\xi\}_{\xi \in \mu} \rangle$ via \mathcal{J}' , T characterizes \mathfrak{A} up to unique isomorphism.

Since $\langle \mu, \leq, \{\xi\}_{\xi \in \mu} \rangle$ is obtained itself from \mathfrak{A} via \mathcal{J} , every $\xi \in \mu$ is definable in \mathfrak{A} by $P'_\xi(x_1) = \mathcal{J}(P_\xi(x_1))$. Furthermore, the well-ordering \leq on μ is definable in \mathfrak{A} by $x_1 \leq' x_2 = \mathcal{J}(x_1 \leq x_2)$.